

# Fully Homomorphic Encryption

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ENEE-459C



# Homomorphic encryption



In the beginning, there was *symmetric* encryption.

If you had the key you could encrypt ...

If you had the key you could encrypt ...

Message:    **ATTACK   AT   DAWN**

Key: **+3**            ↓ ↓ ↓ ↓ ↓ ↓    ↓ ↓    ↓ ↓ ↓ ↓

Ciphertext: **DWWDFN   DW   GDZQ**

If you had the key you could decrypt ...

If you had the key you could decrypt ...

Message:    **ATTACK   AT   DAWN**

Key: **+3**            ↑ ↑ ↑ ↑ ↑ ↑    ↑ ↑    ↑ ↑ ↑ ↑

Ciphertext: **DWWDFN   DW   GDZQ**

... and some people were happy.



Then, there was **asymmetric** encryption.

Some people encrypted ...

Some people encrypted ...

... others decrypted.

Internet took off...

Internet took off...

... and more people were happy.

The first and most used asymmetric cipher was RSA.

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$$E(m) = m^e \pmod{n}$$

Some people noticed the algebraic structure ...



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$$E(m_1) = m_1^e \quad E(m_2) = m_2^e$$

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$$E(m_1) \times E(m_2)$$

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Multiply ciphertexts

$$\begin{aligned} E(m_1) \times E(m_2) \\ = m_1^e \times m_2^e \end{aligned}$$

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$$E(m_1) = m_1^e \quad E(m_2) = m_2^e$$

Ergo ...

$$\begin{aligned} E(m_1) \times E(m_2) \\ &= m_1^e \times m_2^e \\ &= (m_1 \times m_2)^e \end{aligned}$$

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Ergo ...

$$\begin{aligned} E(m_1) \times E(m_2) \\ &= m_1^e \times m_2^e \\ &= (m_1 \times m_2)^e \\ &= E(m_1 \times m_2) \end{aligned}$$

People thought ...

... if only RSA worked additively ...

People mused ...

... if only RSA worked additively ...

we could compute sums ...



People mused ...

... if only RSA worked additively ...

we could compute sums ...

and averages ...

People mused ...

... if only RSA worked additively ...

we could compute sums ...

and averages ...

and tally elections ...

An additive encryption homomorphism ...

An additive encryption homomorphism ...

$$E(m, r) = r^e c^m$$

$$E(m_1, r_1) = r_1^e c^{m_1} \quad E(m_2, r_2) = r_2^e c^{m_2}$$

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$$\begin{aligned} E(m_1, r_1) \times E(m_2, r_2) \\ &= r_1^e c^{m_1} \times r_2^e c^{m_2} \\ &= (r_1 r_2)^e c^{m_1 + m_2} \end{aligned}$$



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The product of encryptions of two messages is *an* encryption of the sum of the two messages.

What people really wanted was the ability to do arbitrary computing on encrypted data...

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... and this required the ability to compute  
*both sums and products* ...

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... and this required the ability to compute  
*both sums and products* ...

... on the same data set!

People tried to do this for years ...

People tried to do this for years ...

... and years ...

People tried to do this for years ...

... and years ...

... and years ...



People tried to do this for years ...

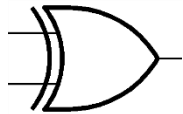
... and years ...

... and years ...

... with no success.

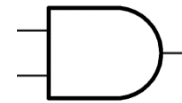
WHY does ADD AND MULTIPLY help?

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**XOR** (add mod 2)

0 XOR 0	0
1 XOR 0	1
0 XOR 1	1
1 XOR 1	0



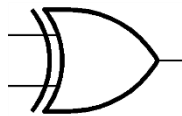
**AND** (mult mod 2)

0 AND 0	0
1 AND 0	0
0 AND 1	0
1 AND 1	1

# WHY does ADD AND MULTIPLY help?

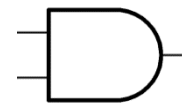
... because {XOR,AND} is Turing-complete ...

(any function can be written as a combination of XOR and AND gates)



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**AND (mult mod 2)**

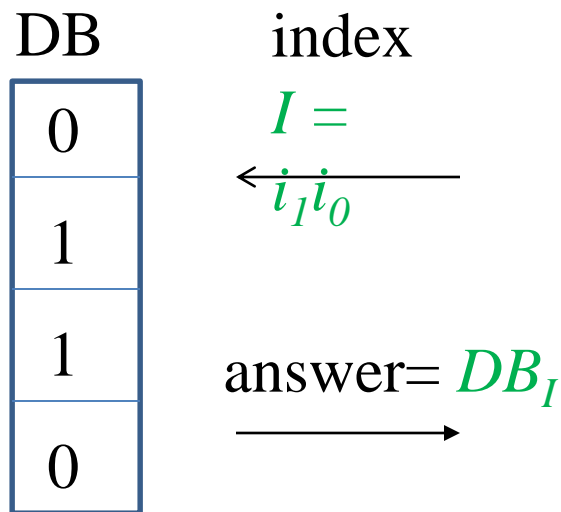
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*Example: Searching a database*

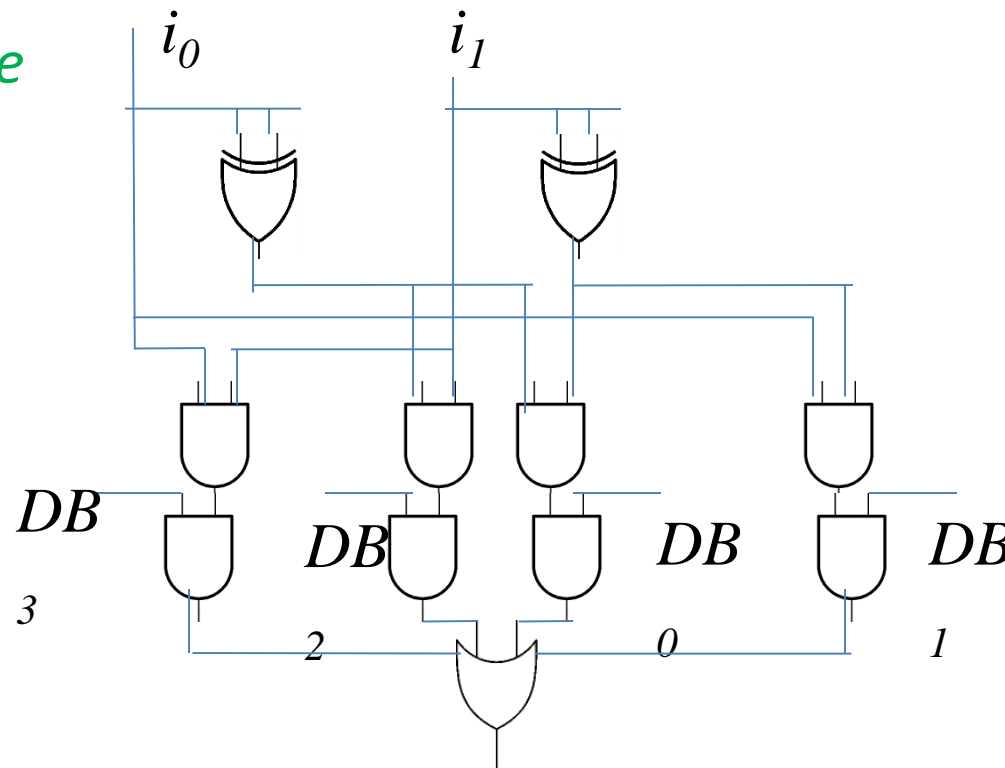
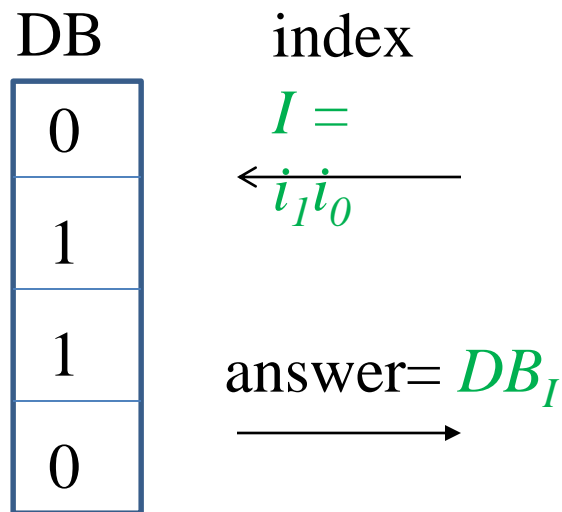


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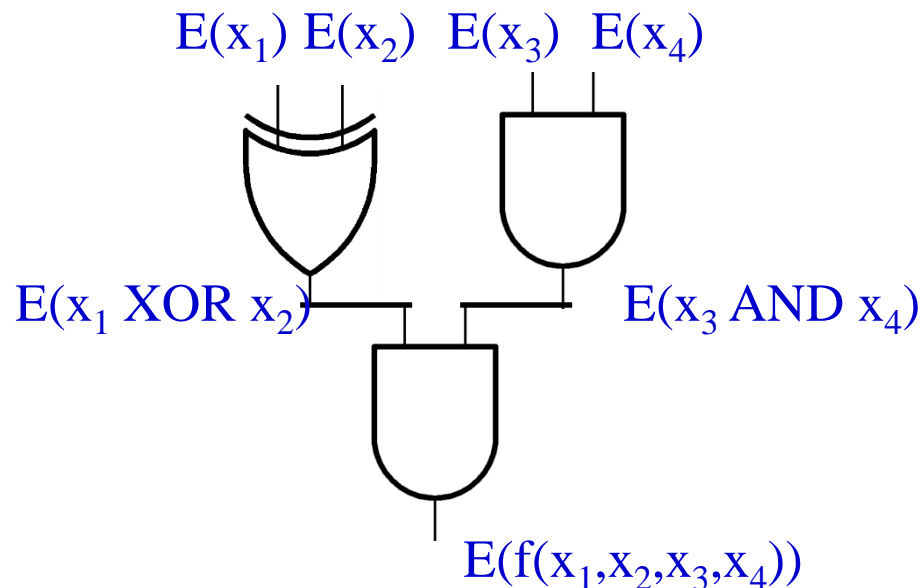


# WHY does ADD AND MULTIPLY help?

... because  $\{\text{XOR}, \text{AND}\}$  is Turing-complete ...

... if you can compute XOR and AND on **encrypted bits**...

... you can compute **ANY** function on **encrypted inputs**...



This is A M A Z I N G!

Private  Search

Private Cloud computing



This is A M A Z I N G!

Private  Search

Private Cloud computing

In general,

Delegate *processing* of data

without giving away *access* to it

People tried to compute both AND and XOR on encrypted bits ...

... for years ...

... and years ...

... with no success.

Well, actually, there were some *partial* answers ...

Josh's  
system

**MANY** add  
**ZERO** mult

Fully homomorphic



**MANY** add  
**MANY** mult

Well, actually, there were some *partial* answers ...



... and some bold attempts [Fellows-Koblitz] ...

... which were quickly broken ...



... until, in October 2008 ...

... until, in October 2008 ...

... **Craig Gentry** came up with the first fully homomorphic encryption scheme ...



How does it work?

What is the magic?



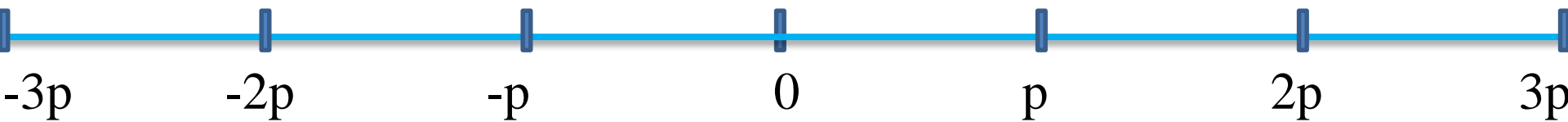
Gentry's scheme was complex ...

... it used advanced algebraic number theory ...

Some of us asked: can we make this really simple? ...

# TODAY: Secret-key (Symmetric-key) Encryption

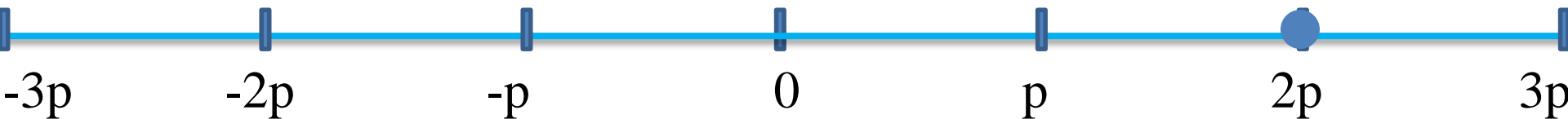
*Secret key*: large *odd* number **p**



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*To Encrypt a bit **b**:*

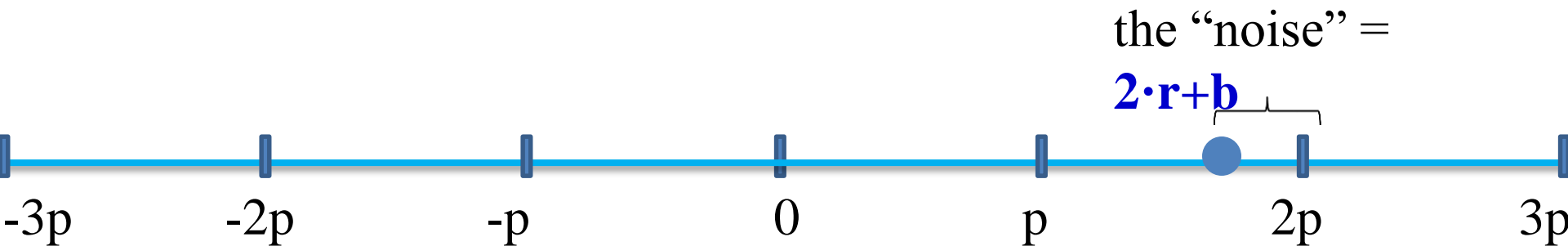
- pick a (random) “large” multiple of  $p$ , say  **$q \cdot p$**



*Secret key*: large *odd* number **p**

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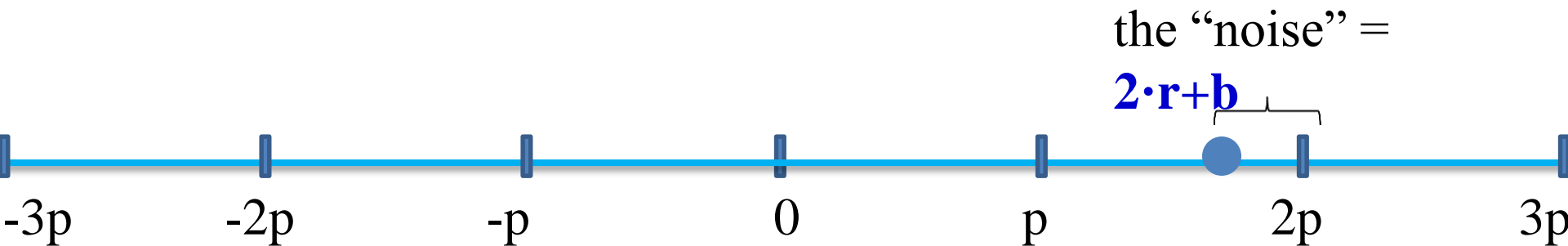
- pick a (random) “large” multiple of  $p$ , say  **$q \cdot p$**
- pick a (random) “small” number  **$2 \cdot r + b$**   
(this is even if  $b=0$ , and odd if  $b=1$ )



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*To Encrypt a bit b*:

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(this is even if  $b=0$ , and odd if  $b=1$ )
- Ciphertext  **$c = q \cdot p + 2 \cdot r + b$**



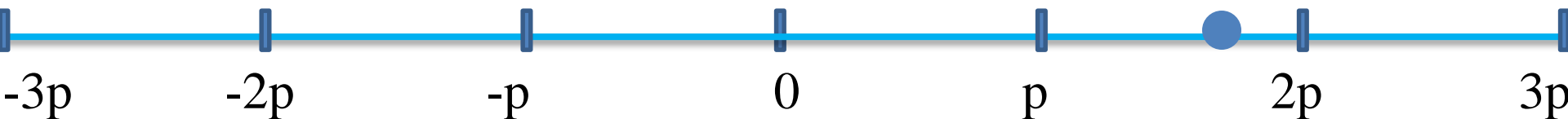
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*To Decrypt a ciphertext c*:

Taking  **$(c \bmod p) \bmod 2$**  recovers the plaintext





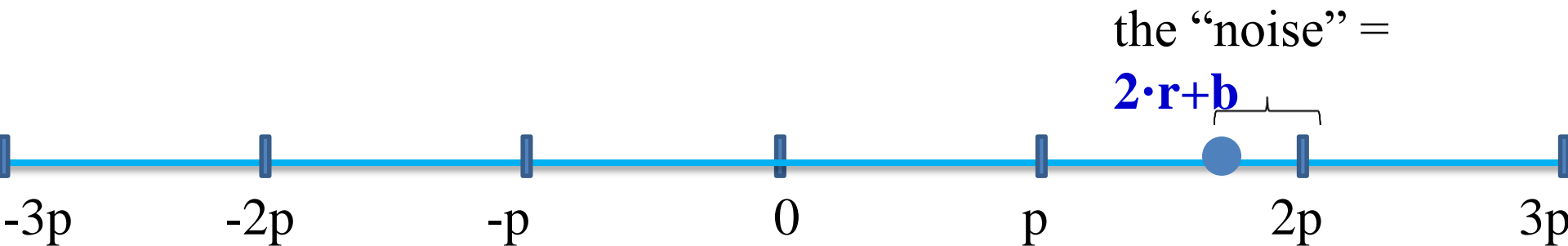
## *How secure is this?*

... if there were no noise (think  $r=0$ )

... and I give you two encryptions of 0 ( $q_1p$  &  $q_2p$ )

... then you can recover the secret key  $p$

$$= \text{GCD}(q_1p, q_2p)$$



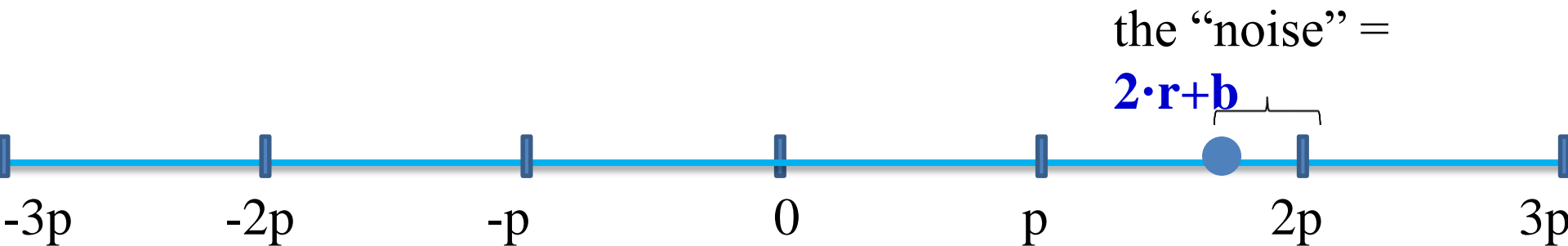
*How secure is this?*

... but if there is noise

... the GCD attack doesn't work

... and neither does any attack (we believe)

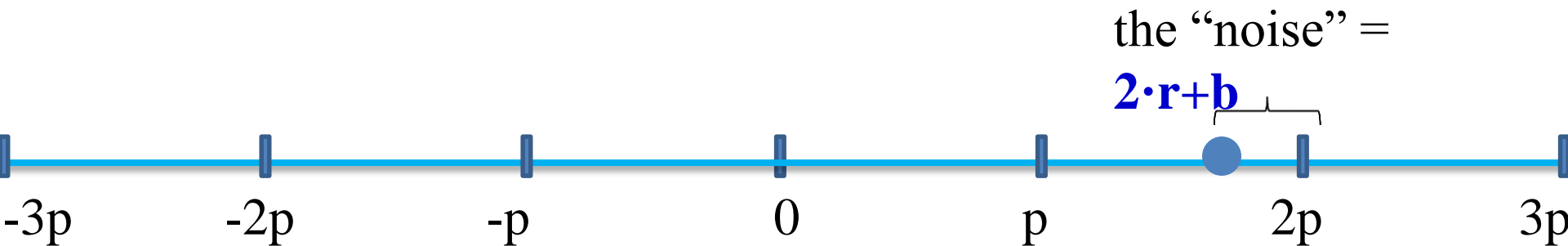
... this is called the *approximate GCD assumption*



*XORing two encrypted bits:*

$$- \mathbf{c}_1 = q_1 \cdot p + (2 \cdot r_1 + b_1)$$

$$- \mathbf{c}_2 = q_2 \cdot p + (2 \cdot r_2 + b_2)$$

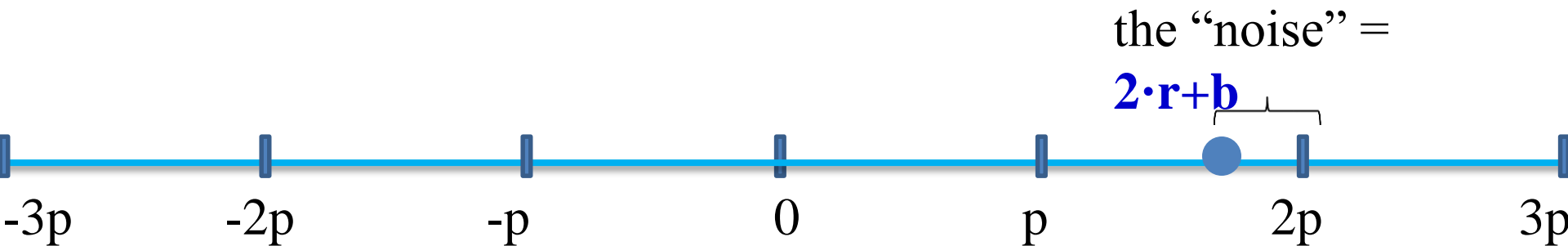


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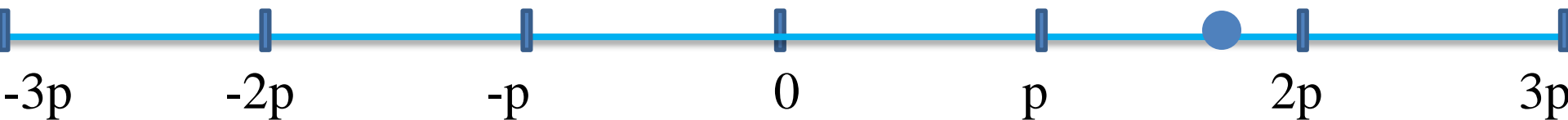
$$- \mathbf{c}_1 + \mathbf{c}_2 = \mathbf{p} \cdot (q_1 + q_2) + \mathbf{2} \cdot (r_1 + r_2) + (b_1 + b_2)$$

*Odd* if  $b_1=0, b_2=1$  (or)  
 $b_1=1, b_2=0$

*Even* if  $b_1=0, b_2=0$  (or)  
 $b_1=1, b_2=1$

the “noise” =

$$\mathbf{2 \cdot r + b}$$



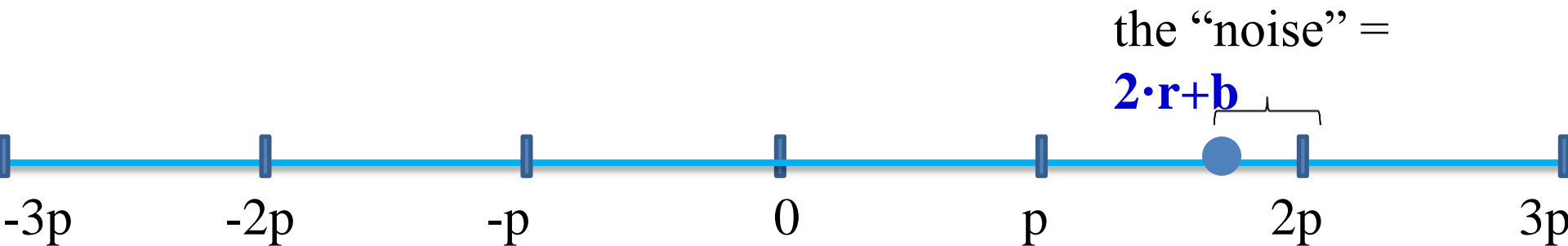
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$$\underbrace{\hspace{10em}}_{lsb = b_1 \text{ XOR } b_2}$$

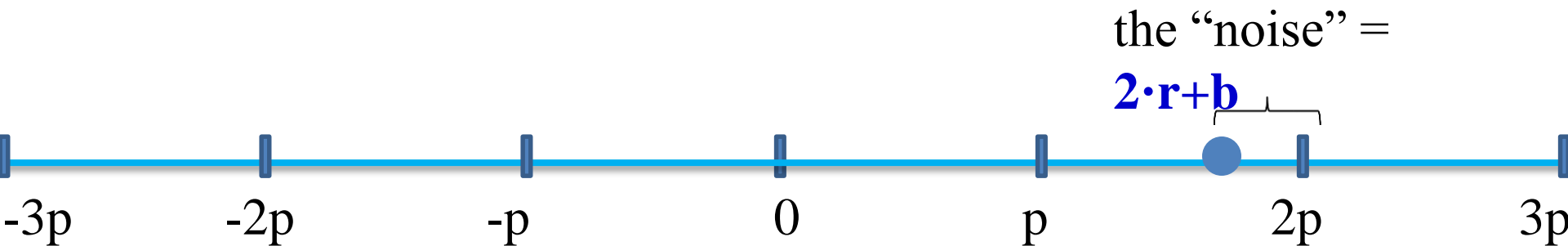


## *ANDing two encrypted bits:*

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$$- \mathbf{c}_1 \mathbf{c}_2 = \mathbf{p} \cdot (\mathbf{c}_2 \cdot q_1 + \mathbf{c}_1 \cdot q_2 - q_1 \cdot q_2) + \mathbf{2} \cdot (r_1 r_2 + r_1 b_2 + r_2 b_1) + b_1 b_2$$



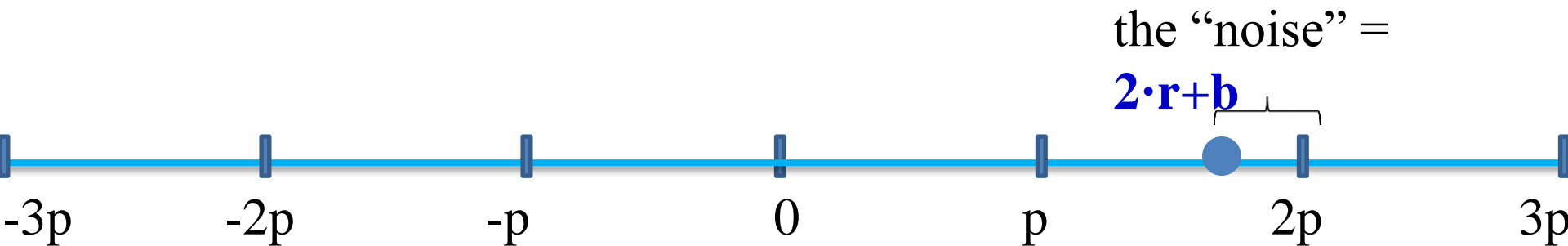
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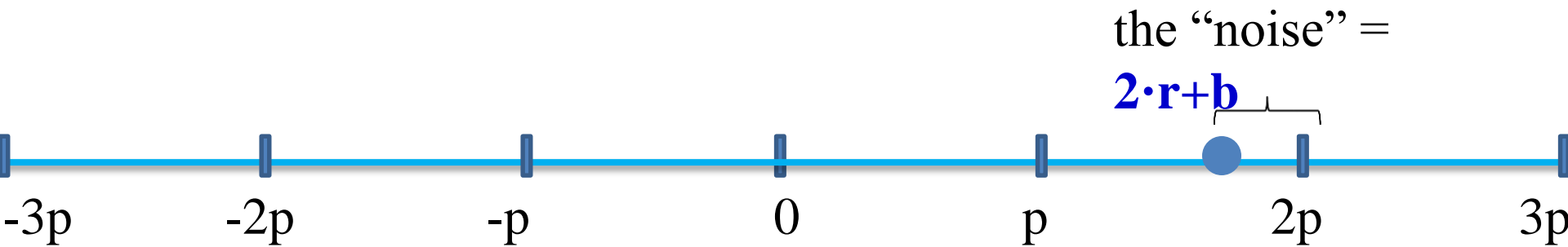
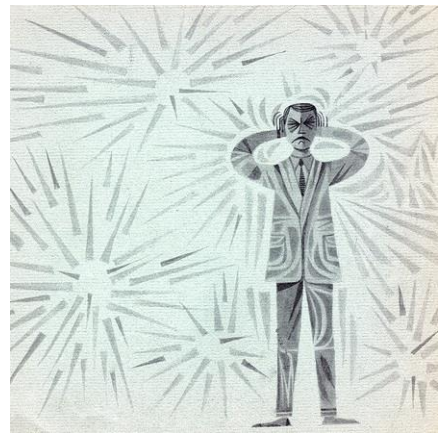
$$\text{noise} = b_1 \text{ AND } b_2$$





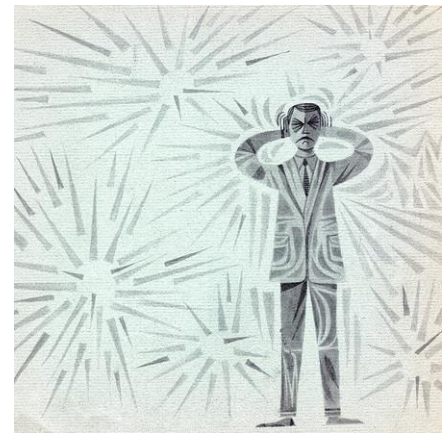


*the noise grows!*



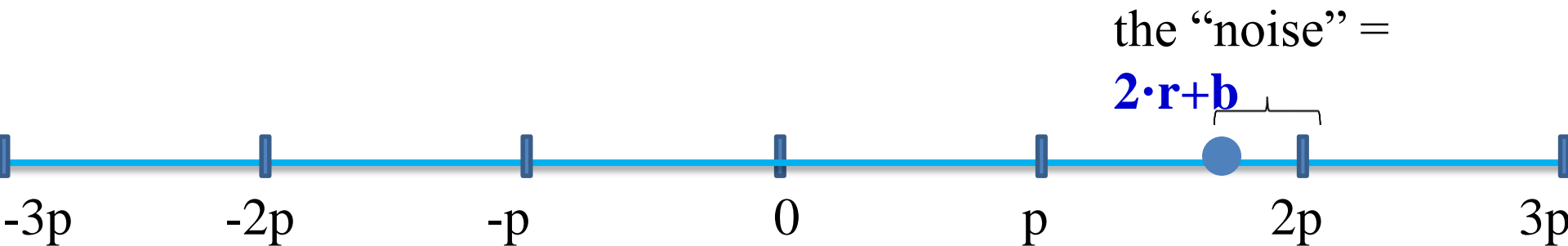


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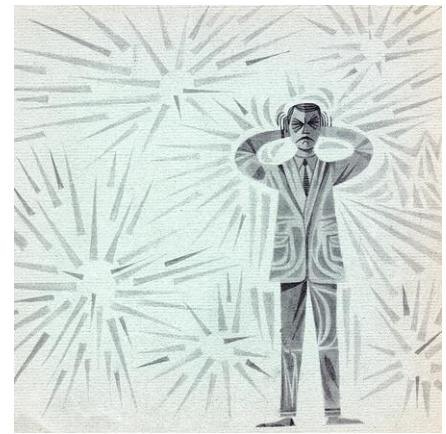
$$- \mathbf{c}_1 + \mathbf{c}_2 = \mathbf{p} \cdot (\mathbf{q}_1 + \mathbf{q}_2) + \underbrace{2 \cdot (\mathbf{r}_1 + \mathbf{r}_2) + (\mathbf{b}_1 + \mathbf{b}_2)}$$

*noise = 2 \* (initial noise)*





*the noise grows!*



$$- \mathbf{c}_1 + \mathbf{c}_2 = \mathbf{p} \cdot (\mathbf{q}_1 + \mathbf{q}_2) + \underbrace{2 \cdot (\mathbf{r}_1 + \mathbf{r}_2) + (\mathbf{b}_1 + \mathbf{b}_2)}_{\text{noise}}$$

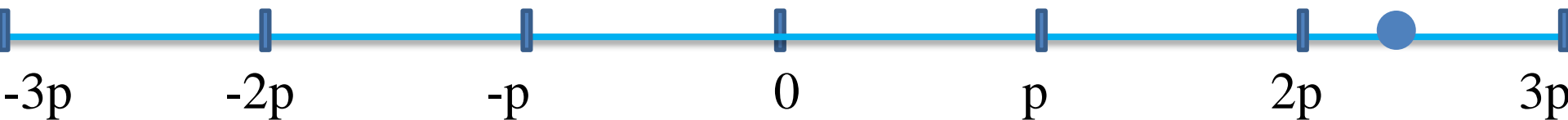
$$\text{noise} = 2 * (\text{initial noise})$$

$$- \mathbf{c}_1 \mathbf{c}_2 = \mathbf{p} \cdot (\mathbf{c}_2 \cdot \mathbf{q}_1 + \mathbf{c}_1 \cdot \mathbf{q}_2 - \mathbf{q}_1 \cdot \mathbf{q}_2) + \underbrace{2 \cdot (\mathbf{r}_1 \mathbf{r}_2 + \mathbf{r}_1 \mathbf{b}_2 + \mathbf{r}_2 \mathbf{b}_1) + \mathbf{b}_1 \mathbf{b}_2}_{\text{noise}}$$

$$\text{noise} = (\text{initial noise})^2$$

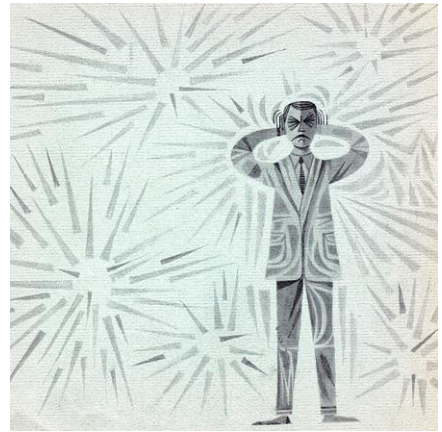
the “noise” =

$$2 \cdot \mathbf{r} + \mathbf{b}$$

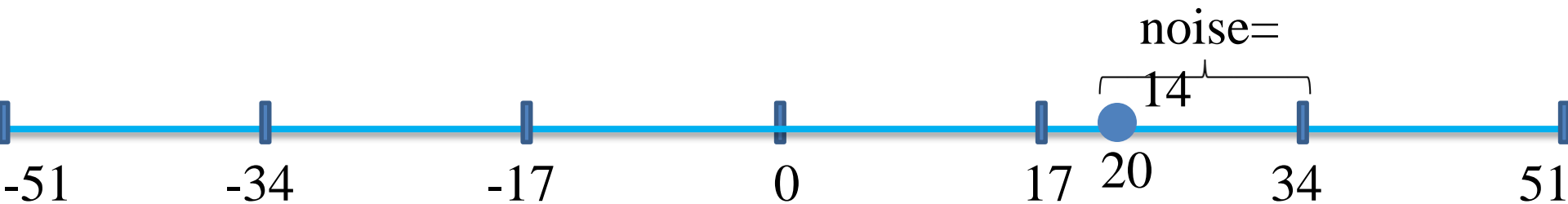




*the noise grows!*

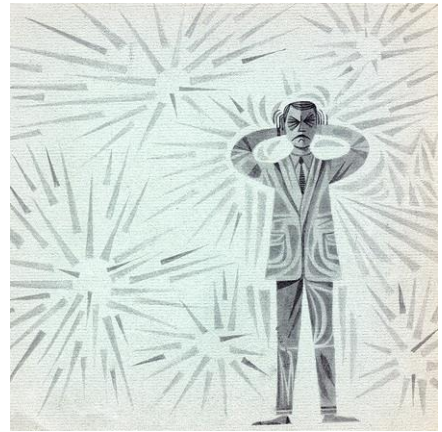


*... so what's the problem?*





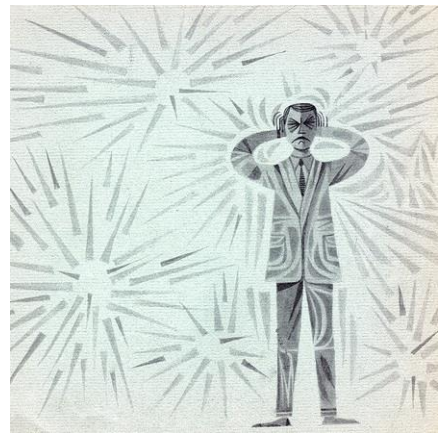
*the noise grows!*



*... so what's the problem?*



*the noise grows!*



*... so what's the problem?*

*If the  $|noise| > p$ , then ...*

*decryption might output an incorrect bit*

*Example:  $E(b) = pq + 2r + b$*

*If  $2r + b < p$  then it is always  $(E(b) \bmod p) \bmod 2 = b$*

*If  $2r + b > p$ , then imagine  $2r + b = p + 1$*

*If  $b = 0$ , then  $(E(b) \bmod p) \bmod 2 = 1$ , not equal to 0*

*So, what did we accomplish?*

*... we can do lots of additions and*

*... some multiplications*

*(= a “somewhat homomorphic” encryption)*

# *So, what did we accomplish?*

*... we can do lots of additions and*

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*(= a “somewhat homomorphic” encryption)*

*... enough to do many useful tasks, e.g.,  
database search, spam filtering etc.*



*So, what did we accomplish?*

*... we can do lots of additions and*

*... some multiplications*

*(= a “somewhat homomorphic” encryption)*

*... enough to do many useful tasks, e.g.,  
database search, spam filtering etc.*

*But I promised much more ...*

Josh's  
system

Boneh, Goh & Nissim

Fully homomorphic

**MANY** add  
**ZERO** mult

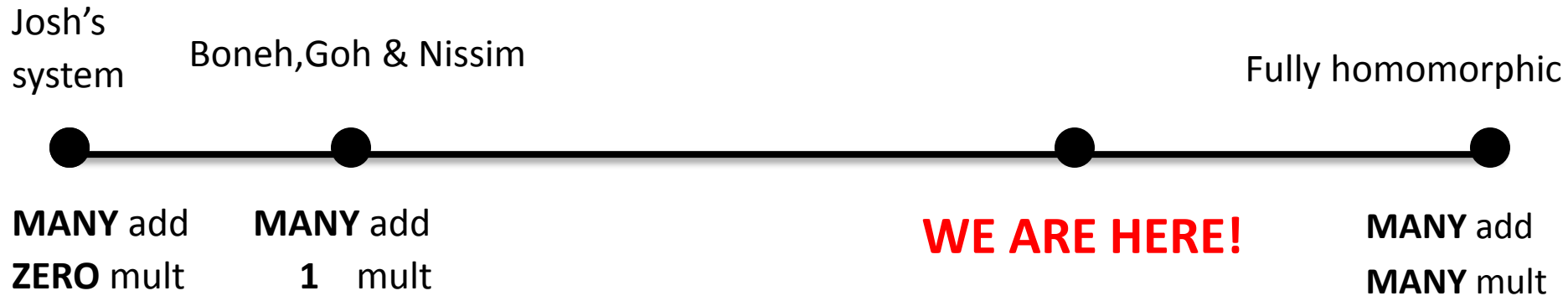
**MANY** add  
**1** mult

**WE ARE HERE!**

**MANY** add  
**MANY** mult



# Gentry's “*bootstrapping theorem*” ...



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*... If you can go a (large) part of the way,  
then you can go all the way.*



# Gentry's “*bootstrapping theorem*” ...

*... If you can go a (large) part of the way,  
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[HOW? WE'LL SEE IN A BIT]



*How efficient is all this?*

*... can I buy a homomorphic encryption software and start encrypting my data?*

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*... well, not quite yet*

*... encrypting a bit takes ~19s (!) with the current best implementation*

*... it takes 99 min to encrypt this sentence*

# *How efficient is all this?*

*... can I buy a homomorphic encryption software and start encrypting my data?*

*... well, not quite yet*

*... encrypting a bit takes ~19s (!) with the current best implementation*

*... but we are improving rapidly...*

## References:

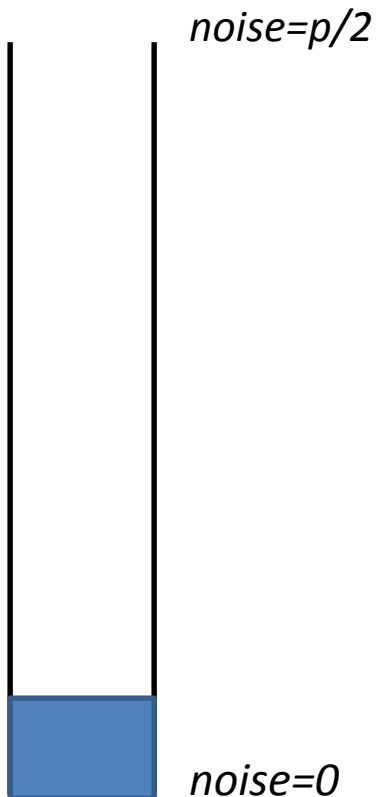
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[https://researcher.ibm.com/researcher/files/us-shaih/fhe-  
implementation.pdf](https://researcher.ibm.com/researcher/files/us-shaih/fhe-implementation.pdf), Eurocrypt 2011.

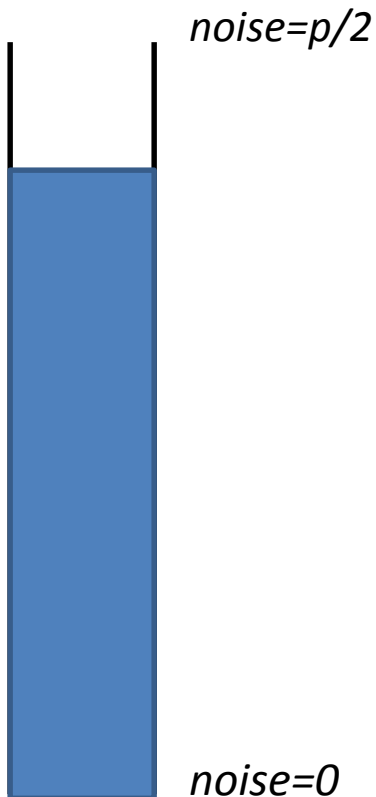
Gentry's “*bootstrapping method*” ...

*... If you can go a (large) part of the way,  
then you can go all the way...*



Gentry's “*bootstrapping method*” ...

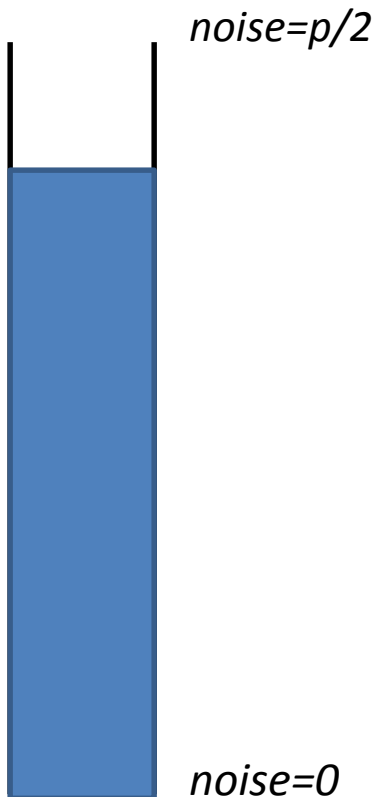
*... If you can go a (large) part of the way,  
then you can go all the way...*



*Problem:* Add and Mult increase noise  
(Add doubles, Mult squares the noise)

Gentry's ***“bootstrapping method”*** ...

*... If you can go a (large) part of the way,  
then you can go all the way...*



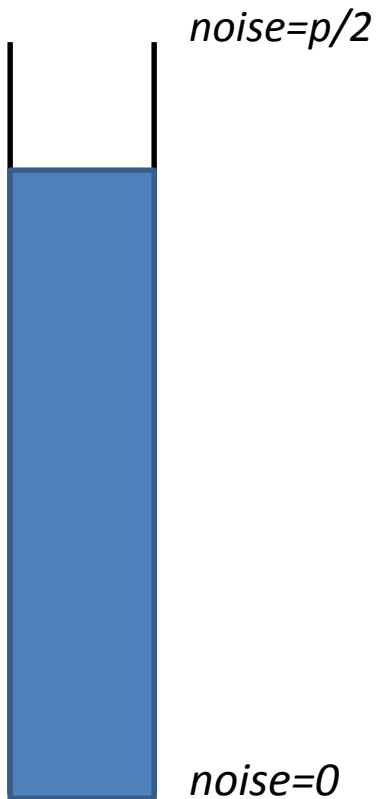
***Problem:*** Add and Mult increase noise  
(Add doubles, Mult squares the noise)

So, we want to do ***noise-reduction***



*Let's think...*

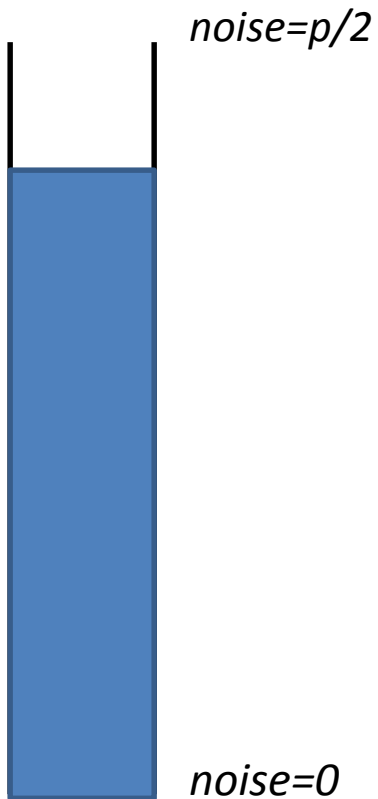
... What is the best noise-reduction procedure?



*Let's think...*

... What is the best noise-reduction procedure?

... something that kills all noise



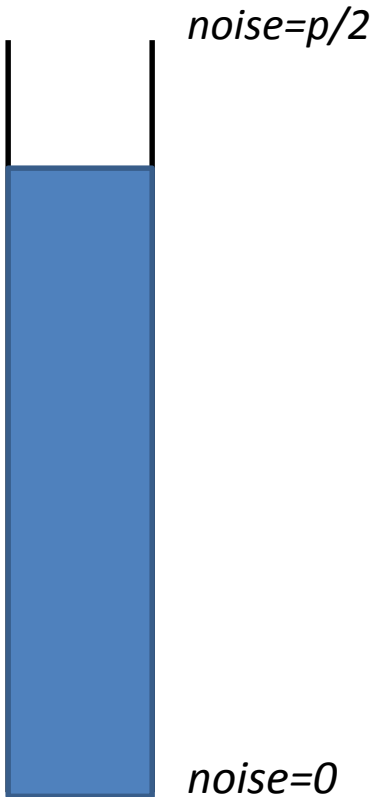


*Let's think...*

... What is the best noise-reduction procedure?

... something that kills all noise

... and recovers the message



*Let's think...*

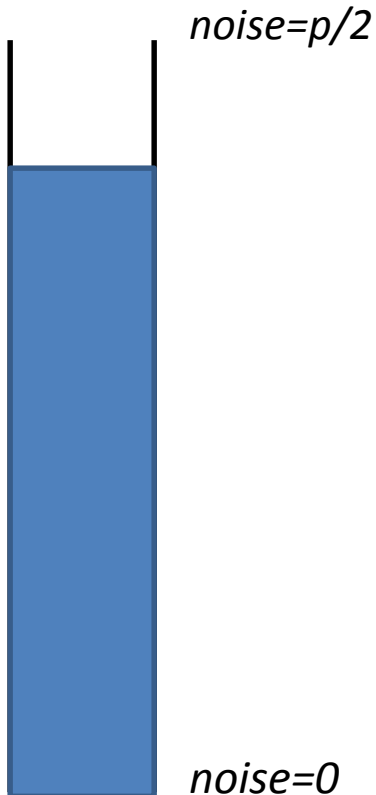
... What is the best noise-reduction procedure?

... something that kills all noise

... and recovers the message

**Decryption**

!



*Let's think...*

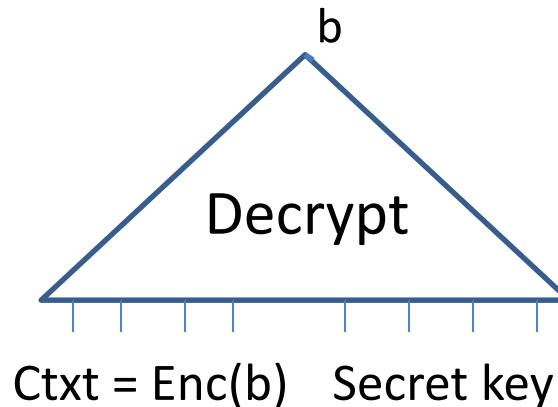
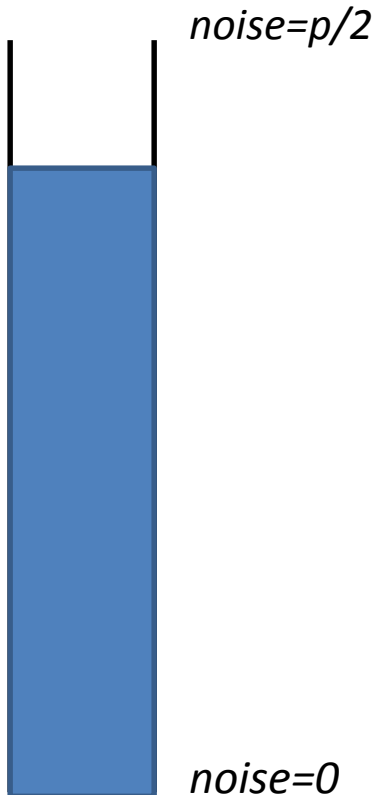
... What is the best noise-reduction procedure?

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**Decryption**

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*Let's think...*

... What is the best noise-reduction procedure?

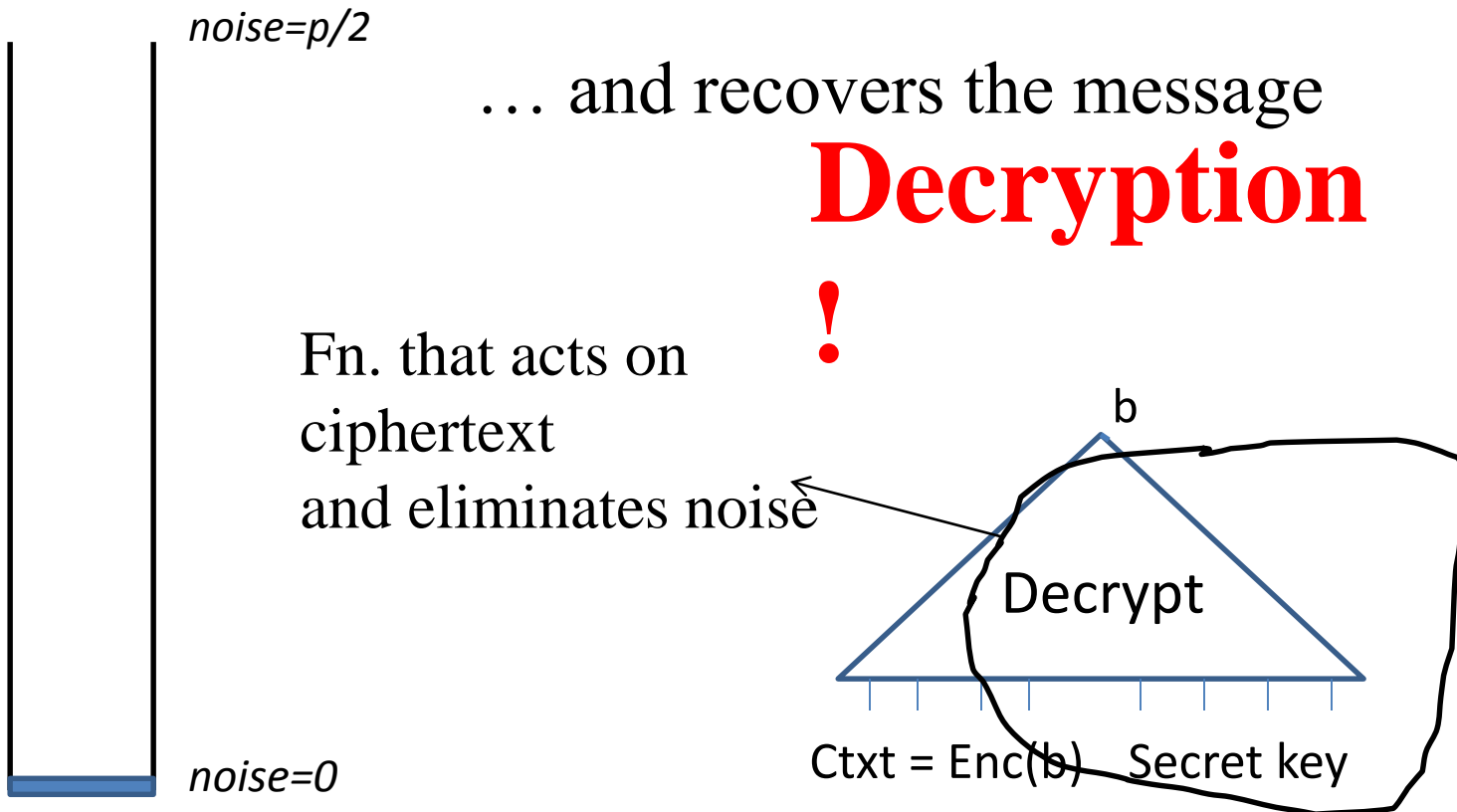
... something that kills all noise

... and recovers the message

**Decryption**

!

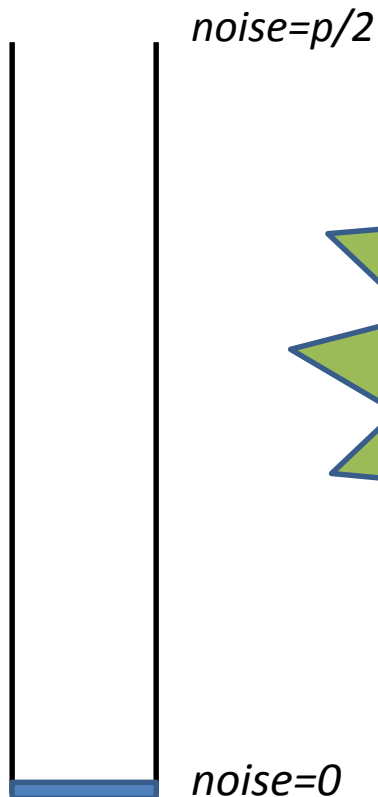
Fn. that acts on  
ciphertext  
and eliminates noise



*Let's think...*

... What is the best noise-reduction procedure?

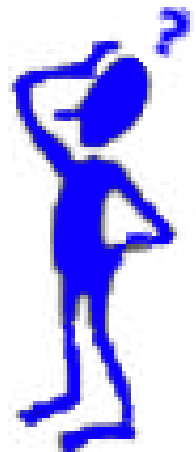
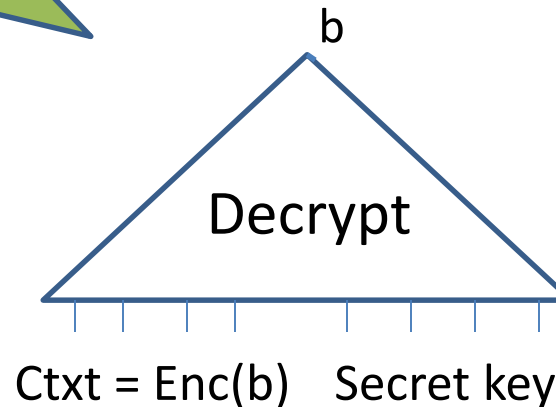
... something that kills all noise



... and covers the message

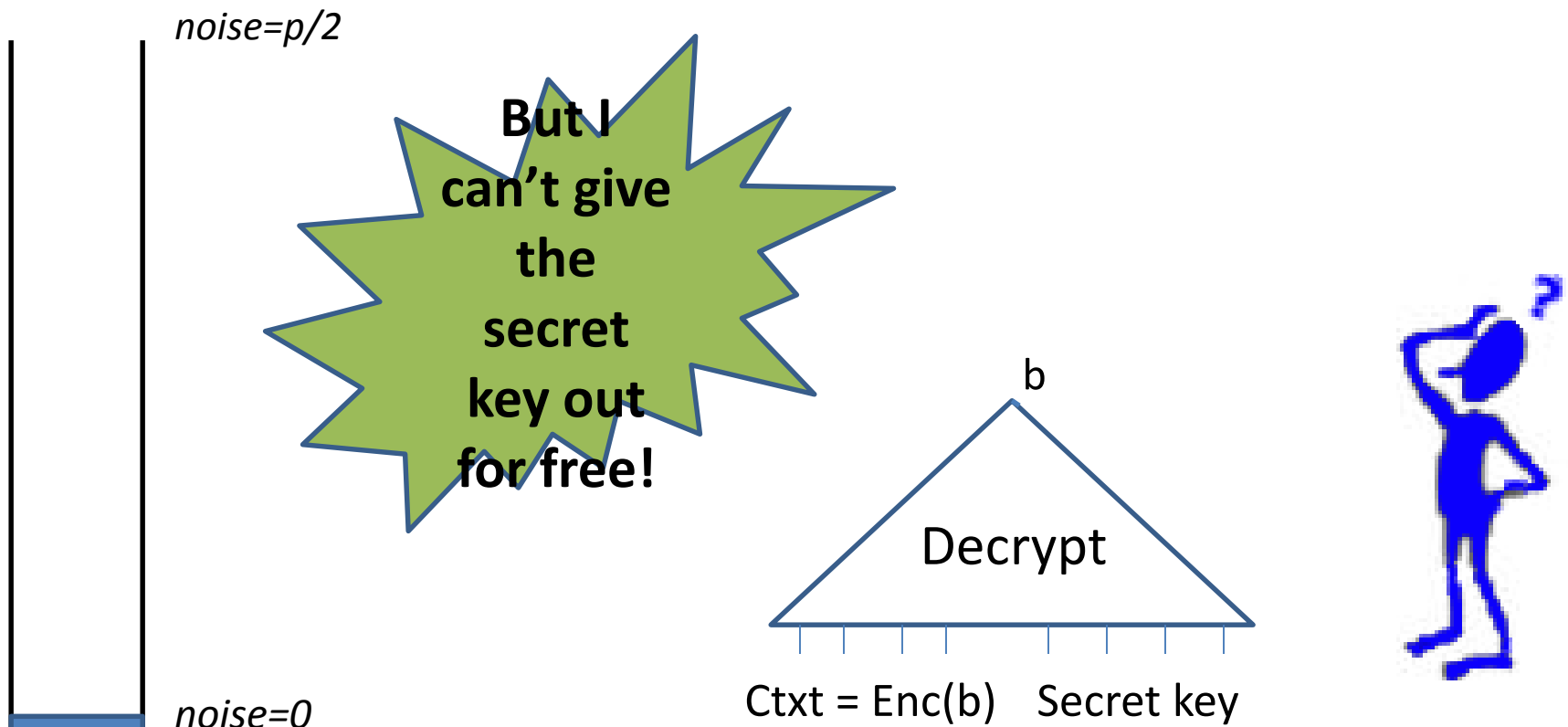
**Decryption**

But I  
can't give  
the  
secret  
key out  
for free!



*Let's think...*

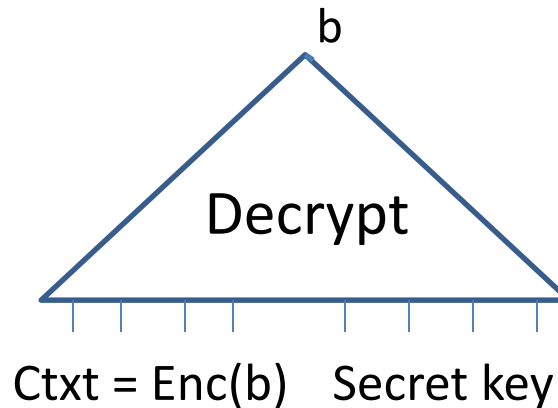
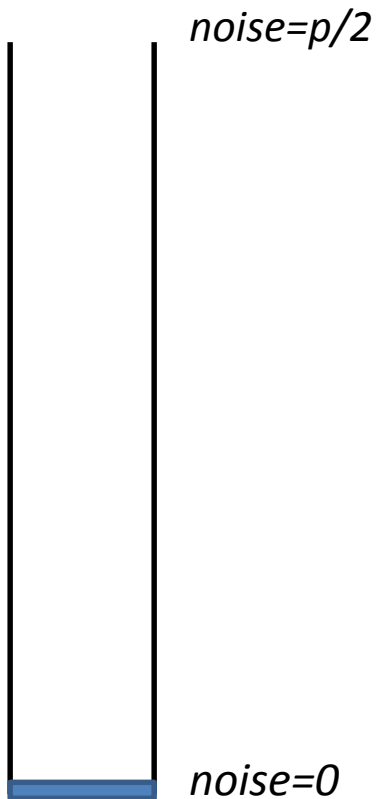
... I want to reduce noise *without letting you decrypt*



## ***KEY IDEA:***

... I cannot release the secret key (lest everyone sees my data)

... but *I can release  $Enc(secret\ key)$*



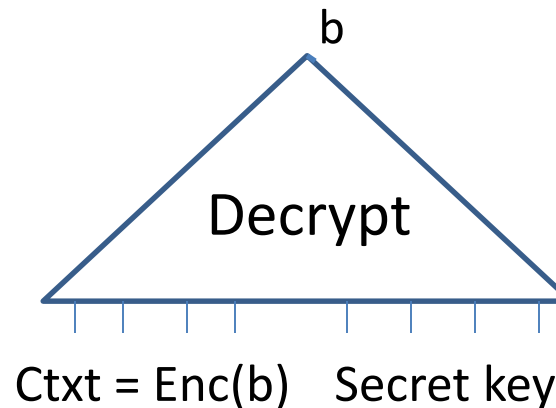
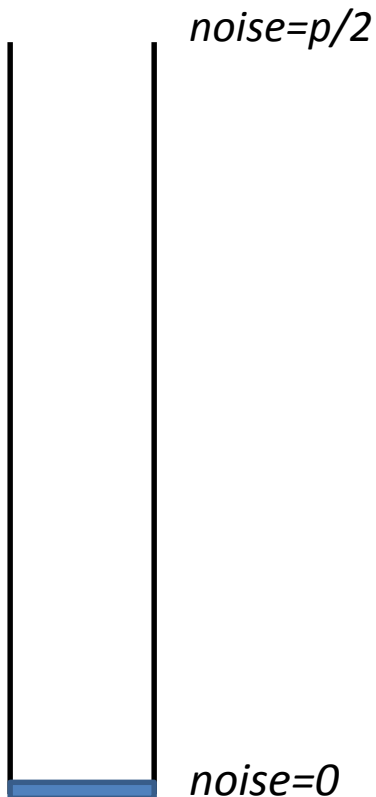
## KEY IDEA:

... I cannot release the secret key (lest everyone sees my data)

... but *I can release  $Enc(secret\ key)$*



... called “Circular Encryption”





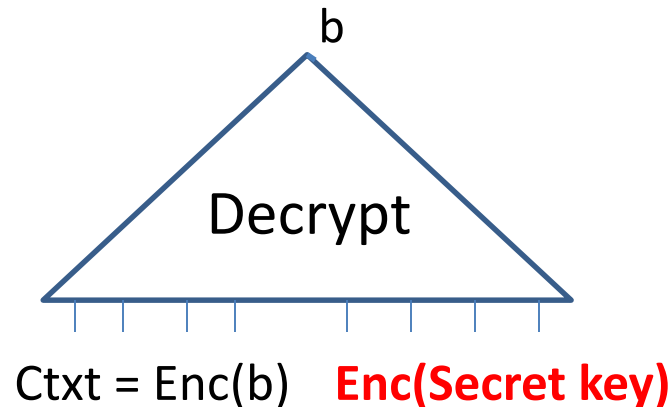
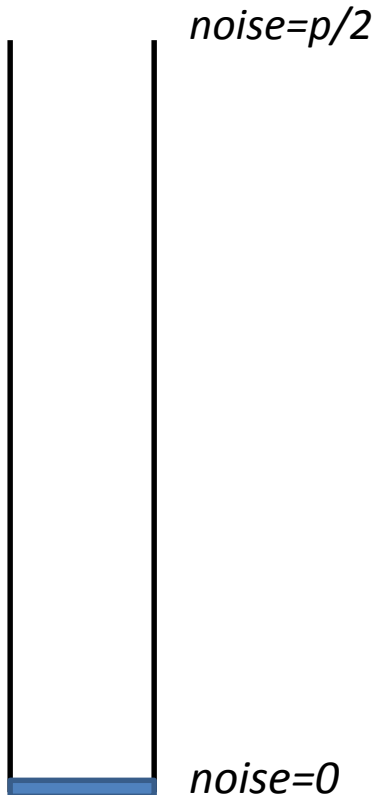
## KEY IDEA:

... I cannot release the secret key (lest everyone sees my data)

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## *KEY IDEA:*

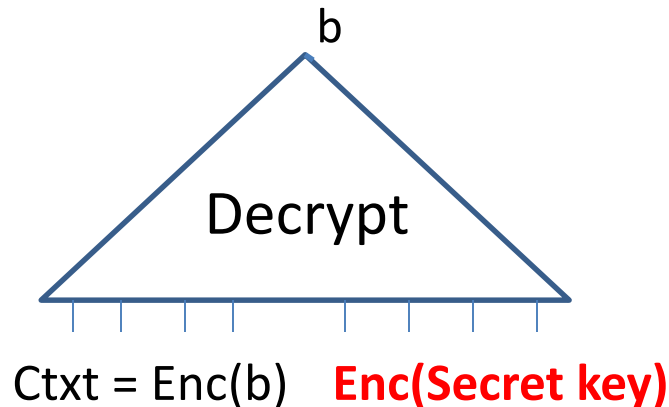
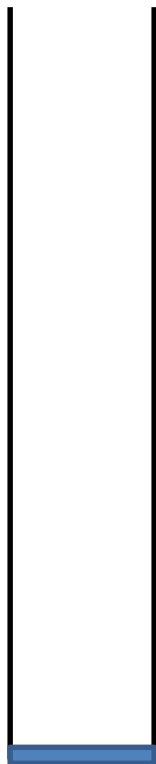
... I cannot release the secret key (lest everyone sees my data)

... but *I can release  $Enc(secret\ key)$*

$noise=p/2$

... Now, to reduce noise ...

... Homomorphically evaluate the decryption ckt!!!



## ***KEY IDEA:***

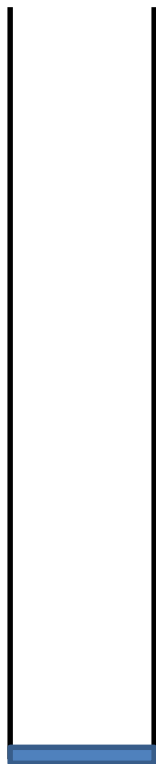
... I cannot release the secret key (lest everyone sees my data)

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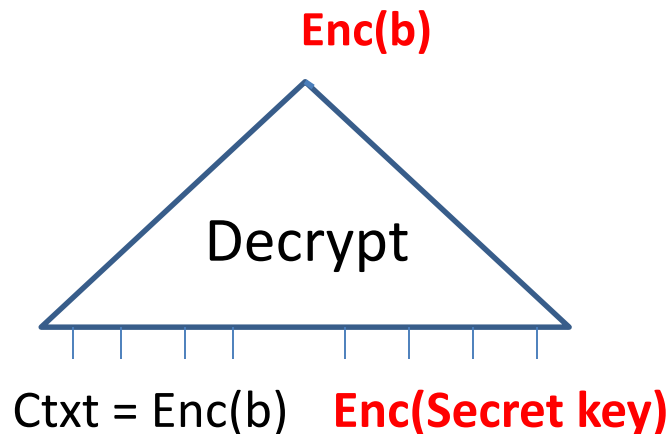
$noise=p/2$

... Now, to reduce noise ...

... Homomorphically evaluate the decryption ckt!!!



$noise=0$



## ***KEY IDEA:***

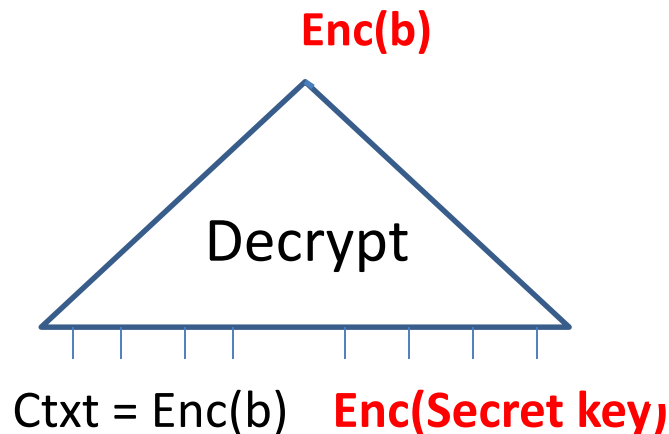
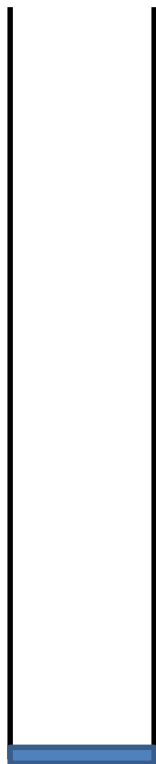
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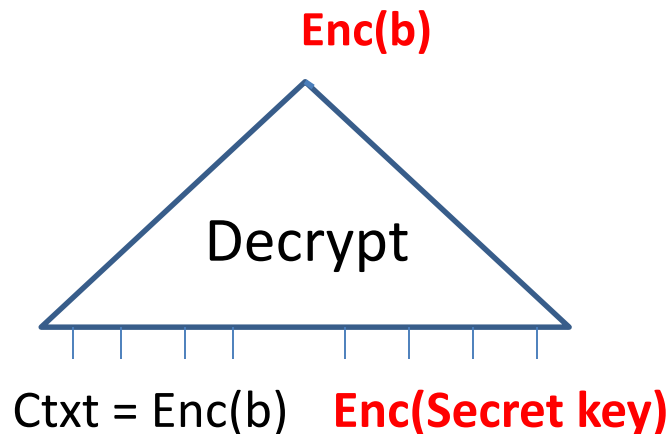
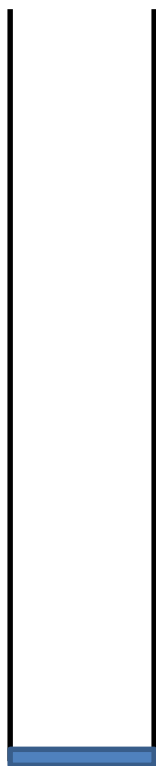
... I cannot release the secret key (lest everyone sees my data)

... but *I can release  $\text{Enc}(\text{secret key})$*

$\text{noise} = p/2$

## KEY OBSERVATION:

... the input  $\text{Enc}(b)$  and output  $\text{Enc}(b)$  have different noise levels ...



## KEY IDEA:

... I cannot release the secret key (lest everyone sees my data)

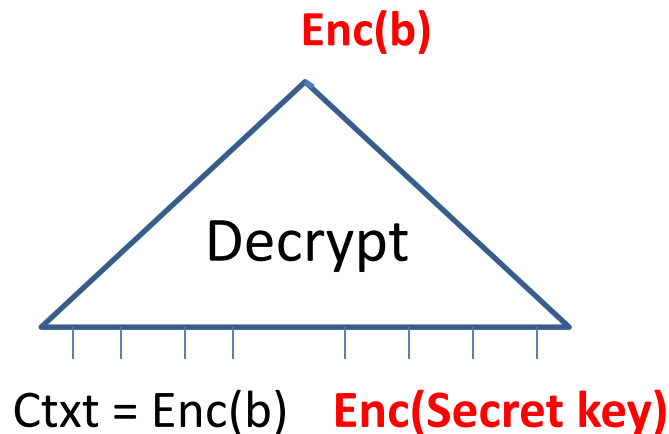
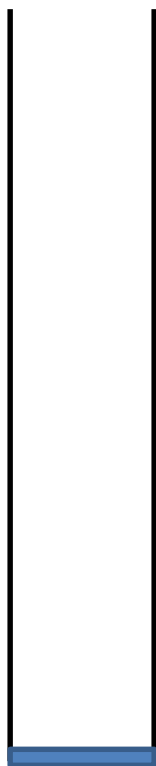
... but *I can release  $\text{Enc}(\text{secret key})$*

$\text{noise} = p/2$

## KEY OBSERVATION:

Regardless of the noise in the input  $\text{Enc}(b)$ ...

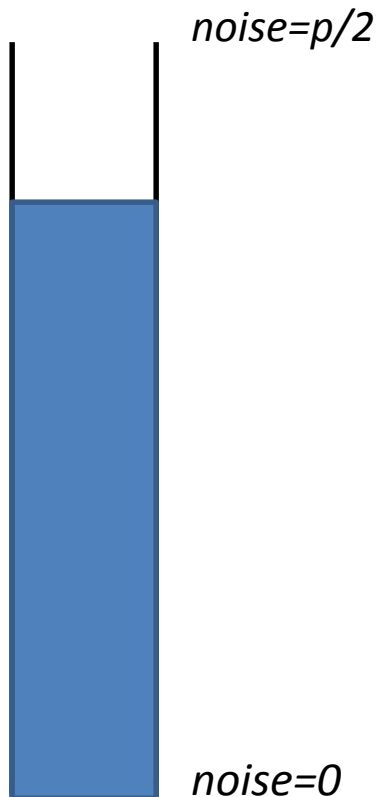
the noise level in the output  $\text{Enc}(b)$  is **FIXED**



## KEY IDEA:

... I cannot release the secret key (lest everyone sees my data)

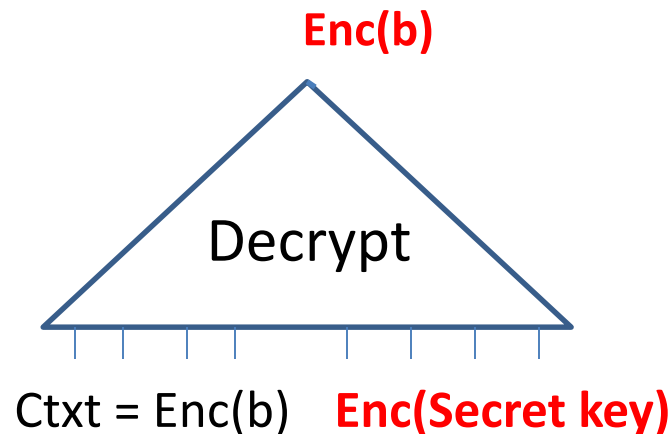
... but *I can release  $\text{Enc}(\text{secret key})$*



## KEY OBSERVATION:

Regardless of the noise in the input  $\text{Enc}(b)$ ...

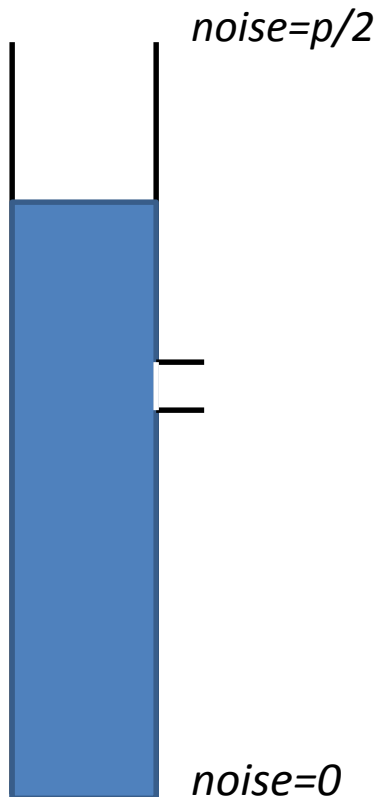
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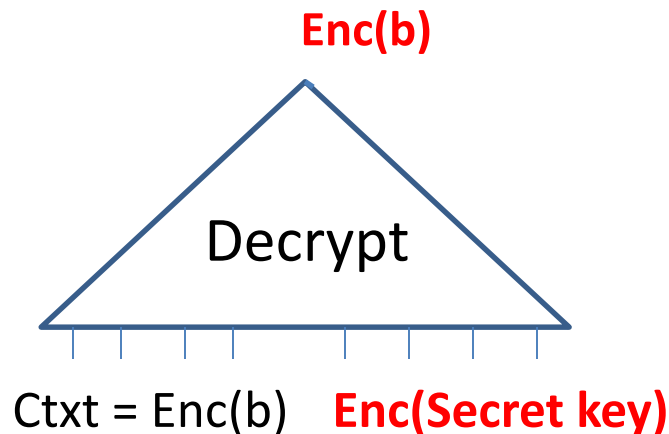
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## KEY OBSERVATION:

Regardless of the noise in the input  $\text{Enc}(b)$ ...

the noise level in the output  $\text{Enc}(b)$  is **FIXED**





## KEY IDEA:

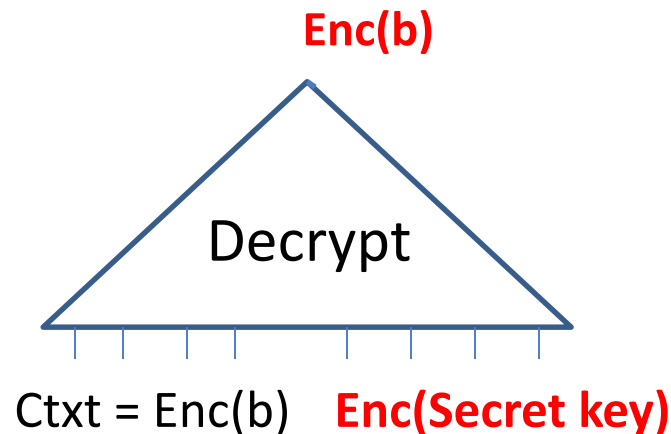
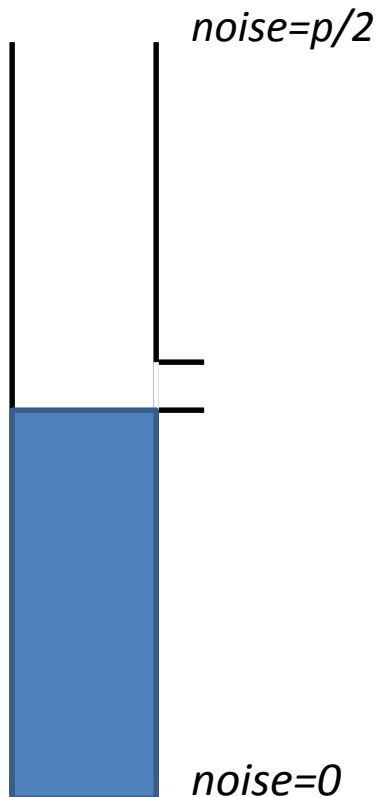
... I cannot release the secret key (lest everyone sees my data)

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## KEY OBSERVATION:

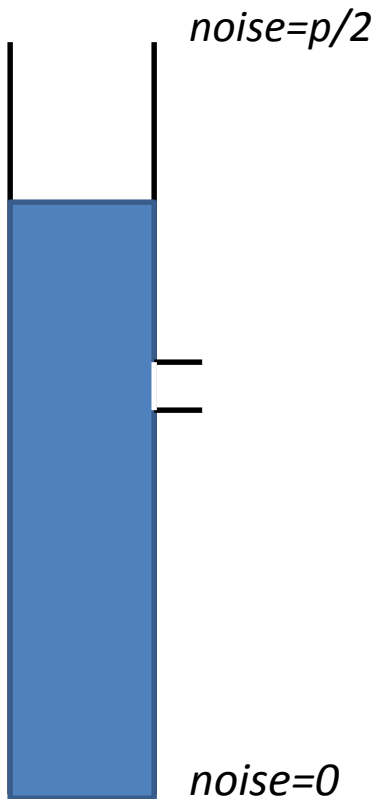
Regardless of the noise in the input  $\text{Enc}(b)$ ...

the noise level in the output  $\text{Enc}(b)$  is **FIXED**

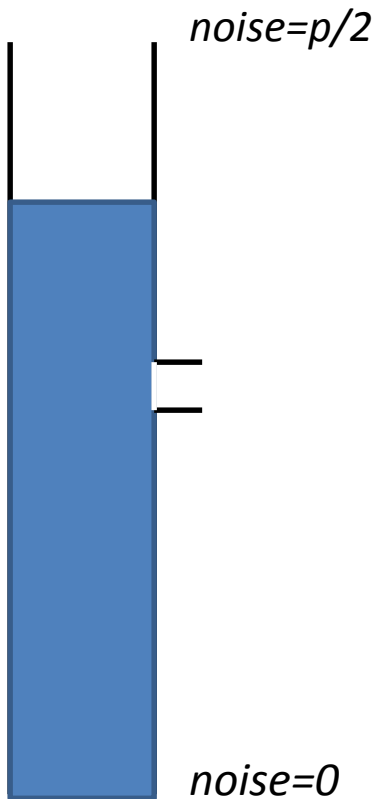


***Bottomline:*** whenever noise level increases beyond a limit ...

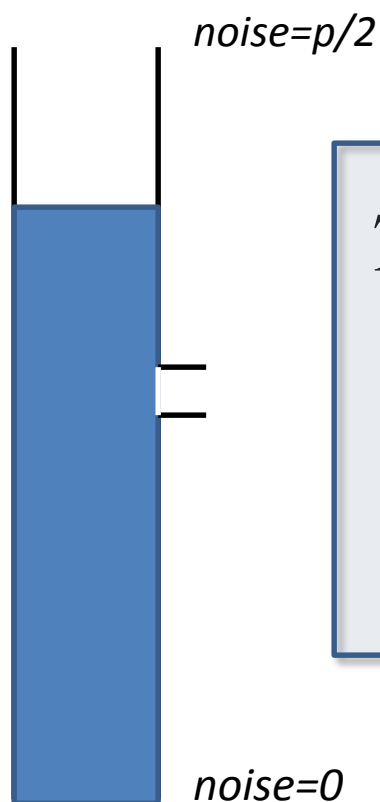
*... use bootstrapping to reset it to a fixed level*



*Bootstrapping requires homomorphically  
evaluating the decryption circuit ...*



*Bootstrapping requires homomorphically evaluating the decryption circuit ...*



*Thus, Gentry's “**bootstrapping theorem**”:*

*If an enc scheme can evaluate its own decryption circuit, then it can evaluate everything*